



IRW

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Norikazu NIIMI

Group Art Unit: 1742

Application No.: 10/728,956

Filed: December 8, 2003

Docket No.: 118002

For: SINTERED BODIES OF YTTRIUM-ALUMINUM GARNET, A METHOD OF
PRODUCING THE SAME AND SINTERING AID THEREOF

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.
- ☒ 3. The references were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.
- ☒ 4. The present application was filed or entered the U.S. National Stage of the PCT after June 30, 2003. In accordance with the June 11, 2003, Notice waiving the requirements of 37 CFR §1.98(a)(2)(i), copies of any U.S. patents and patent application publications are not attached.
- ☒ 5. English-language Abstracts of the non-English language references 3-7 are attached hereto.

- ☒ 6. A computer-generated English translation of the following Japanese references has been obtained from the website of the Japanese Patent Office (<http://www.jpo.go.jp>), and is attached, but has not been reviewed for accuracy. See References 3-7.

Respectfully submitted,



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Date: September 9, 2004

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Sheet 1 of 1

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 118002		APPLICATION NO. 10/728,956	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT Norikazu NIIMI			
				FILING DATE December 8, 2003		GROUP 1742	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
	1.	US 4,841,195	06/20/1989	DE WITH et al.			
	2.	US 6,383,964 B1	05/07/2002	NAKAHARA et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
	3.	JP 06-107456 A w/abstract & translation	04/19/1994	JAPAN			
	4.	JP 2001-089225 A w/abstract & translation	04/03/2001	JAPAN			
	5.	JP 2001-270775 A w/abstract & translation	10/02/2001	JAPAN			
	6.	JP 11-130428 A w/abstract & translation	05/18/1999	JAPAN			
	7.	JP 11-071184 A w/abstract & translation	03/16/1999	JAPAN			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
EXAMINER					DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Date: September 9, 2004